

Attorney Docket No.: 213201.00226

Customer No. 27160

Intl. Appln. No. PCT/DE2003/001202

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Modesto M. PESAVENTO

Group Art Unit: Not Yet Assigned

Intl. Appln. No.: PCT/DE2003/001202

Examiner: Not Yet Assigned

Intl. Filing Date: April 10, 2003

Confirmation No.: Not Yet Assigned

For: MI

METHOD AND DEVICE FOR

PROCESSING PREFORMS

Atty. Dkt. No.: 213201.00226

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

October 12, 2004

## **INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with the practice under 37 C.F.R. §§1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Forms PTO/SB/08A and PTO/SB/08B. For the convenience of the Examiner, a copy of the International Search Report for the current application has been provided.

Please note that documents 1-3 and 6-7 were cited in the International Search Report and documents 4&5 were cited in the specification of the current application. Copies of the documents have not been provided as they are readily available.

## **FORMAL MATTERS**

In accordance with 37 C.F.R. § 1.97(b)(3), since a first Official Action on the merits of the subject application has not yet been issued, neither a certificate, petition nor payment of a fee is believed to be required for this Information Disclosure Statement. However, the Commissioner is hereby

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authorized to charge any fee which may be required in connection with this paper to Deposit Account No. 50-1710.

## **CONCLUSION**

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Forms PTO/SB/08A and PTO/SB/08B be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 625-3500. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Richard P. Bauer

Attorney for Applicant Registration No. 31,588

Patent Administrator KATTEN MUCHIN ZAVIS ROSENMAN 525 West Monroe Street, Suite 1600 Chicago, Illinois 60661-3963 (202) 625-3500

Approved for use through 07/31/2006. OMB 0651-0031
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Complete if Known Substitute for form 1449/PTO Not Yet Assigned **Application Number** Filing Date October 12, 2004 INFORMATION DISCLOSURE First Named Inventor Modesto M. PESAVENTO STATEMENT BY APPLICANT Art Unit Not Yet Assigned (Use as many sheets as necessary) **Examiner Name** Not Yet Assigned 213201-00226 **Attorney Docket Number** Sheet

			U. S. PATENT	DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2 (if known)</sup>	00/04/400=			
	1	<sup>US-</sup> 4,690,633 A	09/01/1987	Hughes et al.		
	2	<sup>US-</sup> 6,332,770 B1	12/25/2001	Brand et al.		
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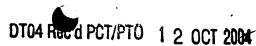
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sub>6</sub>	
	3	EP 0 763 415 A	03/19/1997	Richard Herbst			
	4	WO 97/39874	10/30/1997	Barel et al.			
	5	DE 41 28 438 A1	03/04/1993	Krupp Corpoplas			

Examiner	•	Date	
Signature		Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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Substitute for form 1449/PTO			· ·	Complete if Known		
				Application Number	Not Yet Assigned 10 /5109	
INFO	PRMATION	N DISC	CLOSURE	Filing Date	October 12, 2004	
STATEMENT BY APPLICANT				First Named Inventor	Modesto M. PESAVENTO	
(Use as many sheets as necessary)				Art Unit	Not Yet Assigned	
				Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	213201-00226	

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	6	Patent Abstracts of Japan, Vol. 005, No. 190 (M-100), 12/04/1981 & JP 56 113433 A (INOUE MTP CO LTD), 09/07/1981.	
	7	Patent Abstracts of Japan, Vol. 1996, No. 2, 02/29/1996 & JP 07 276485 A, 10/24/1995.	

Examiner	Date	
Signature	Considered	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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